PATENT

Thereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 23, 2006

Date Sharon Sheridan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Leon Fernando Garcia-Martinez

Application No. : 10/719,642

Filed: November 21,2003

For : MODULATING IMMUNE RESPONSES

Docket No. : 60117-86

Date : June 23, 2006

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08. Copies of the cited references are enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application. No fee is due at this time in accordance with 37 C.F.R. § 1.97(b).

Respectfully submitted, Leon Fernando Garcia-Martinez DAVIS WRIGHT TREMAINE LLP

Jane E. R. Potter

Registration No. 33,332

2600 Century Square 1501 Fourth Avenue Seattle, WA 98101-1688 Phone: (206) 628-7650

Phone: (206) 628-7650 Facsimile: (206) 628-7699

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PAD AND Distitute for form 1449A/PTO

JUN 2 6 2006

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet of 2

Complete if Known				
Application Number	10/719,642			
Filing Date	November 21, 2003			
First Named Inventor	Leon Fernando Garcia-Martinez			
Art Unit	1644			
Examiner Name	Michail A. Belyavskyi			
Attorney Docket Number	60117-86			

U.S. PATENT DOCUMENTS						
Examiner Cite		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials No.	Number - Kind Code ² (if known)	Passages or Relevant Figures Appear				
		US-5,316,920 A	05-31-1994			
		US-				
		US-				
	Ĭ	US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Cito	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	,	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵	
		WO 02/074921 A2	09-26-2002				
		WO 03/040170 A2	05-15-2003				
		WO 03/038072 A1	05-08-2003				
		WO 95/29236	11-02-1995				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO Complete if Known 10/719,642 Application Number INFORMATION DISCLOSURE November 21, 2003 Filing Date STATEMENT BY APPLICANT Leon Fernando Garcia-Martinez First Named Inventor Art Unit 1644 (Use as many sheets as necessary) Examiner Name Michail A. Belyavskyi of 2 Attorney Docket Number 60117-86 **Sheet**

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		ZHOU, L. et al., "A Novel Cell-Surface Molecule Expressed by Human Interdigitating Reticulum Cells, Langerhans Cells, and Activated Lymphocytes is a New Member of the Ig Superfamily," <i>J. Immunol.</i> 149:735-742, 1992.	
Examiner		Date	

Examiner Signature	Date Considered	
_		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ope

OPE HARE

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM Application Number 10/719,642 Filing Date November 21, 2003 First Named Inventor Leon Fernando Garcia-Martinez Art Unit 1644 Examiner Name Michail A. Belyavskyi Il Number of Pages in This Submission Attorney Docket Number 60117-86

	Total Number of Pages in Th	is Submission		Attorney Docket N	umber	60117-86		
Fee Attached	ENCLOSURES (check all that apply)							
Fee Attached	Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC			
Affidavits/dectaration(s) Affidavits/dectaration(s) Affidavits/dectaration(s) Affidavits/dectaration(s) Affidavits/dectaration(s) Affidavits/dectaration(s) Affidavits/dectaration(s) Affidavits/dectaration(s) Extension of Time Request Express Abandonment Request Certified Copy of Priority Document(s) Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Davis Wright Tremaine LLP Signature Printed Name Jane E. R. Potter Date Lends Copy of Priority Davis Wright Tremaine LLP Signature Printed Name Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posts Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date showp-below. Signature	Fee Attached		Licensing-related Papers					
Aftidavits/declaration(s) Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Correspondence Address Terminal Disclaimer Cother Enclosure(s) (please identify below): Return Postcard Return Postcard Correspondence Address Return Postcard Return Postcard Return Postcard Return Postcard Return Postcard Return Postcard Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Davis Wright Tremaine LLP Signature Printed Name Jane E. R. Potter Date June 23, 2006 Reg. No. 33,332 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature A. Adduatation	Amendment / Reply		Petition				1	
Affidavits/declaration(s)	After Final		1 			Proprietary Information		
Extension of Time Request Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts Incomplete Application Reply to Missing Parts Under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Davis Wright Tremaine LLP Signature Printed Name Date June 23, 2006 Reg. No. 33,332 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posts Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shows below. Signature June 23, 2000 Alexandria, VA 22313-1450 on the date shows below. Signature	Affidavits/declaration	n(s)			ress	Status Letter		
Express Abandonment Request ☐ CD, Number of CD(s) ☐ CD, Number of CD(s) ☐ Certified Copy of Priority ☐ Document(s) ☐ Reply to Missing Parts ☐ Incomplete Application ☐ Reply to Missing Parts ☐ Under 37 CFR1.52 or 1.53 ☐ SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT ☐ Firm ☐ Davis Wright Tremaine LLP ☐ Signature ☐ Date ☐ June 23, 2006 ☐ Reg. ☐ No. ☐ 33,332 ☐ CERTIFICATE OF TRANSMISSION/MAILING ☐ I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown-below. ☐ Signature ☐ CD, Number of CD(s) ☐ CD, Number of CD(s) ☐ CP, Number of CD	Extension of Time Requ	est	Terminal I	Disclaimer				
CD, Number of CD(s)			Request f	or Refund		Return Posto	card ,	
Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Davis Wright Tremaine LLP Signature Printed Name Jane E. R. Potter Date June 23, 2006 Reg. No. 33,332 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date showp below. Signature June 23 AUMINIME Signature June 23 AUMINIME Remarks Remarks Reg. No. 33,332 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date showp below. Signature	Express Abandonment I	Request	CD, Numb	per of CD(s)		-	1 .	
Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Davis Wright Tremaine LLP Signature Printed Name Jane E. R. Potter Date Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature		Statement	☐ Lan	dscape Table on CD				
Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Davis Wright Tremaine LLP Signature Printed Name Jane E. R. Potter Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature		, Re	emarks					
Printed Name Date Date Date Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature	Reply to Missing Parts/ Incomplete Application Reply to Missing Parts							
Printed Name Date Date Date Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature		SIGNAT	URE OF A	APPLICANT, ATTO	RNEY, OI	R AGENT		
Printed Name Jane E. R. Potter Date Date Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature	Firm					· · · · · · · · · · · · · · · · · · ·		
Date June 23, 2006 Reg. No. 33,332	Signature			Soffel				
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature	Printed Name	Jan	e E. R. Potte	er				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Posta Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature	Date	Jun						
Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below. Signature	CERTIFICATE OF TRANSMISSION/MAILING							
A Housen	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown-below.							
Typed or printed name Sharon Sheridan Date June 23, 2006	Signature	S. All	Uhin	Mn				
	Typed or printed name	Sharon Sherid	Sheridan Date June 23, 2006			June 23, 2006		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.